EL 918317440 US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Group Art Unit: unknown

Harry Schatz, et al. : Intellectual Property

Serial No: : Law Department

Filed: **Herewith** : International Business

: Machines Corporation

Title: Method for Managing Electronic

Mail Receipts Using Audio-Visual

Notification

: 11400 Burnet Road

: Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, D.C. 20231 Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

David A Mims, Jr.

Attorney

Registration No. 32,708

Telephone No. 512-823-0950

In Place of FORM PTO-1449 (Modified)

Serial No.

Applicant: IBM Corporation Filing Date:

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE

Group:

STATEMENT

Atty. Docket No. AUS920030408US1

| D | D | | | | | Filing Date |
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| Examiner Initial | Document Number | Data | Nome | 0] | Gb1 | If |
| IIILLIAI | Mumber | Date | Name | Class | Subclass | Appropriate |
| AA | | | Maeda | 358 | 1.15 | 03-08-99 |
| AB | | | IwaZaki | 709 | 206 | 04-15-99 |
| AC | | 12-24-02 | Bull | 379 | 142.08 | 03-09-99 |
| AD | | | | | | |
| AE | 0143881 A1 | 10-03-02 | DeLaCruz | 709 | 206 | 03-29-01 |
| | FORRIGN PAT | ENT DOCUMENTS | | , | | |
| Examiner | Document | DOCUMENTS. | | | | Translation |
| <u>[nitial</u> | Number | Date | Country | Class | Subclass | Yes No |
| | WO 01/97432 | | U.S.A. | H04L | | Yes |
| | WO 01/97432 | | U.S.A. | H04L | | Yes |
| | JP2002164911 | | Japan | H04L | | Yes |
| | JP2002063111 | A2 02-28-02 | Japan | H04L | | Yes |
| Examiner Initial | OTHER ART (I | acluding Autho | or, Title, Date, | , Pertinen | t Pages, Etc | e.) |
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